

NO/572978

MAT-8829US

PATENT

IAP20F00070 22 MAR 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: To Be Assigned  
Applicants: Yoshifumi HOSOKAWA, et al.  
Filed: Herewith  
Title: RADIO COMMUNICATION SYSTEM, BASE STATION,  
AND MOBILE STATION  
TC/A.U.: To Be Assigned  
Examiner: To Be Assigned  
Confirmation No.: To Be Assigned  
Docket No.: MAT-8829US

## **INFORMATION DISCLOSURE STATEMENT**

*Mail Stop PCT*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO SB/08(a) and PTO SB/08(b) form submitted herewith. A copy of the references listed on the PTO SB/08(a) and PTO SB/08(b) are enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 2000-092541
  - 2) 08-237728
  - 3) 2003-219449
  - 4) 2003-264868
  - 5) 2003-134133
  - 6) 2003-199137

7) 2003-018082

JAP2006 - 10.10.2006

References 1) to 7) include English language abstracts.

References 1) to 7) were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of the International Search Report, which indicates the degree of relevance found by the foreign office, is transmitted herewith. MPEP Section 609 IIIA(3), second paragraph.

In addition, Reference 1) also has a “concise explanation” under 37 CFR § 1.98(a)(3) as set forth in the background portion of the specification.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

LEA/fp

Enclosures: PTO/SB/08A and PTO/SB/08B  
(7) References  
International Search Report

Dated: . March 22, 2006

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Kathleen Libby*  
**KATHLEEN LIBBY**

APR 20 AND 2006

PTO/SB/08A (AW 4/25/03) (04-03)

Approved for use through 04/30/2004. OMB 0651-0031

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Application Number	To Be Assigned 432978
Filing Date	Herewith
First Named Inventor	Yoshifumi HOSOKAWA, et al.
Art Unit	To Be Assigned
Examiner Name	To Be Assigned

Attorney Docket No. MAT-8829US

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		JP 2000-092541 A (with English abstract)	03/31/00	TOSHIBA CORP		<input type="checkbox"/>
		JP 08-237728 A (with English abstract)	09/13/96	NTT		<input type="checkbox"/>
		JP 2003-219449 A (with English abstract)	07/31/03	NTT DOCOMO INC.		<input type="checkbox"/>
		JP 2003-264868 A (with English abstract)	09/19/03	MITSUBISHI ELECTRIC CORP		<input type="checkbox"/>
		JP 2003-134133 A (with English abstract)	05/09/03	NEC CORP		<input type="checkbox"/>
		JP 2003-199137 A (with English abstract)	07/11/03	NEC CORP		<input type="checkbox"/>
		JP 2003-018082 A (with English abstract)	01/17/03	J-PHONE HIGASHI NIHON KABUSHIKI KAISHA		<input type="checkbox"/>
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Examiner Signature

Date Considered

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<sup>1</sup>Applicant's unique citation designation number (optional).<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:  
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<p>Substitute for Form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>	<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 45%;">Application Number</td> <td style="width: 55%;">To Be Assigned <b>601572978</b></td> </tr> <tr> <td>Filing Date</td> <td>Herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>Yoshifumi HOSOKAWA, et al.</td> </tr> <tr> <td>Art Unit</td> <td>To Be Assigned</td> </tr> <tr> <td>Examiner Name</td> <td>To Be Assigned</td> </tr> </table>		Application Number	To Be Assigned <b>601572978</b>	Filing Date	Herewith	First Named Inventor	Yoshifumi HOSOKAWA, et al.	Art Unit	To Be Assigned	Examiner Name	To Be Assigned
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Examiner Name	To Be Assigned											
SHEET 2 of 2	Attorney Docket No. MAT-8829US											

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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**1'Applicant's unique citation designation number (optional).**

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